



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Linda G. Cima, et al.

Serial No.: 08/398,555

Attention: Application
Branch

Filed: March 3, 1995

For: CELL GROWTH SUBSTRATES WITH TETHERED CELL GROWTH
EFFECTOR MOLECULES

Assistant Commissioner
for Patents
Washington, D.C. 20231

TRANSMITTAL OF ASSIGNMENT,
DECLARATION/POWER OF ATTORNEY,
VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
AND REQUEST FOR REFUND

Sir:

Enclosed for filing in the above-identified patent
application are the following:

1. Declaration/Power of Attorney executed by Linda G. Cima,
Edward W. Merrill, and Philip R. Kuhl.
2. Assignment by Linda G. Cima, Edward W. Merrill, and
Philip R. Kuhl to Massachusetts Institute of Technology.
3. Verified Statement Claiming Small Entity Status by
Massachusetts Institute of Technology.
4. Certificate under 37 C.F.R. Section 3.73(b) by
Massachusetts Institute of Technology.

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TRANSMITTAL OF ASSIGNMENT,
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VERIFIED STATEMENT CLAIMING SMALL
ENTITY STATUS AND REQUEST FOR REFUND

Applicants request a refund of 50% of the \$1,070.00 filing fee, a total of \$535.00. This request is being made within two months of payment of the original full fee, pursuant to 37 C.F.R. § 1.28.

The Commissioner may apply the refund to our Deposit Order Account No. 01-2507. Checks in the amounts of \$40.00 and \$65.00 are enclosed to cover the Assignment recordation fee and the Declaration surcharge, respectively. Please credit any overpayment or charge any other fees due in connection with this matter to our deposit account No. 01-2507. A duplicate of this letter is enclosed for accounting purposes.

Respectfully submitted,



Patrea L. Pabst
Reg. No. 31,284

Dated: May 3, 1995
ARNALL GOLDEN & GREGORY
2800 One Atlantic Center
1201 W. Peachtree Street
Atlanta, Georgia 30309-3450
404-873-8794



OFFICE OF THE SECRETARY OF THE CORPORATION

I, Kathryn A. Willmore, do hereby certify that I am Secretary of the Executive Committee of the Corporation of the Massachusetts Institute of Technology and that the following is a true and correct copy of a vote duly adopted by said Executive Committee at a regular meeting duly held on May 26, 1994, at which a quorum was present and voting throughout:

VOTED: To rescind, effective May 26, 1994, a vote of February 5, 1993 granting single signing authority to John T. Preston, Director of Technology Development, and Lita Nelsen, Director of the MIT Technology Licensing Office, for patent and licensing documents specifically described in seven (7) subsections contained in the vote.

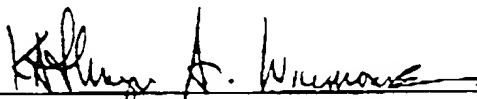
VOTED: That J. David Litster, Vice President and Dean for Research, John T. Preston, Director of Technology Development, and Lita Nelsen, Director of the MIT Technology Licensing Office, acting alone, are hereby authorized, effective May 26, 1994, to sign in the name and on behalf of the Institute the following described documents as they relate to intellectual property, and licensing at MIT:

- (1) U.S. patent, trademark, and copyright filing, prosecution, and maintenance documents, including U.S. Government licenses
- (2) Corresponding foreign documents including power of attorney authorization forms for the purpose of filing only
- (3) Faculty royalty-sharing and interinstitutional royalty-sharing agreements
- (4) Small entity status verification forms for the purpose of reducing filing costs
- (5) Materials transfer agreements
- (6) Non-disclosure forms required to disclose MIT patent applications to industry

VOTED: That Jarmila Z. Hrbek, Patent Administrator and Office Manager, acting alone, is hereby authorized, effective May 26, 1994, to sign in the name and on behalf of the Institute the following described documents as they relate to intellectual property at MIT:

- (1) U.S. Patent prosecution and maintenance documents, including U.S. Government licenses
- (2) Foreign prosecution and maintenance documents, including power of attorney authorization forms for the purpose of filing only
- (3) Small entity status verification forms for the purpose of reducing filing costs.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of the Massachusetts Institute of Technology on this 14th day of June, 1994.



Kathryn A. Willmore
Secretary of the Executive Committee
of the Corporation of
Massachusetts Institute of Technology

U.S.S.N. 08/398,555
Filed: March 3, 1995
TRANSMITTAL OF ASSIGNMENT,
DECLARATION/POWER OF ATTORNEY,
VERIFIED STATEMENT CLAIMING SMALL
ENTITY STATUS AND REQUEST FOR REFUND

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this Transmittal of Assignment, Declaration/Power of Attorney, Verified Statement Claiming Small Entity Status and Request for Refund, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date: May 3, 1995

Angela M. Rossi
Angela M. Rossi



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
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08/398,555 03/03/95 CIMA

L M116210

03P1/0407

PATREA L. PABST
ARNALL GOLDEN & GREGORY
2800 ONE ATLANTIC CENTER
1201 WEST PEACHTREE STREET
ATLANTA GA 30309-3450

DATE MAILED: 0000

**NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

04/07/95

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 122 for large entities or \$ for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 122.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☐ The statutory basic filing fee is: ☐ missing ☐ insufficient. Applicant as a ☐ large entity ☐ small entity, must submit \$ to complete the basic filing fee.

2. ☐ Additional claim fees of \$ as a ☐ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

3. ☐ The oath or declaration:
☐ is missing.
☐ does not cover items omitted at time of execution.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.

4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. ☒ The signature(s) to the oath or declaration is/are: ☒ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:
 An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ under 37 CFR 1.17(k), unless this fee has already been paid.

8. ☐ A \$ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).

9. ☐ Your filing receipt was mailed in error because your check was returned without payment.

10. ☐ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.

11. ☐ Other:

Direct the response and any questions about this notice to, Attention: Application Processing Division, Special Processing and Correspondence Branch (703) 308-1202.

A copy of this notice *MUST* be returned with the response.